

Equity Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

Generated on Tue Oct 13 2020 10:58:09 GMT-4 EDT

3rd Quarter, 2020

July 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Orders as % of Non-Directed	Orders as % of Non-Directed Orders	Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	86.89	1.12	11.42	0.56

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	40.06	50.00	22.22	10.46	66.67	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	30.21	39.22	0.00	4.58	33.33	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	13.20	0.00	0.00	54.25	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	4.93	0.00	33.33	18.30	0.00	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	3.97	4.96	11.11	0.65	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	2.86	3.45	22.22	0.00	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1.91	0.00	0.00	7.84	0.00	0	0	0	0	0	0	0

Two Sigma Securities, LLC(SOHO)	1.43	1.72	11.11	0.00	0.00	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	0.79	0.65	0.00	1.31	0.00	0	0	0	0	0	0	0
Cboe BZX U.S. Equities Exchange (BATS)	0.64	0.00	0.00	2.61	0.00	0	0	0	0	0	0	0

Material Aspects:

July 2020

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	93.74	0.51	5.3	0.44

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	46.92	51.09	47.06	7.42	37.04	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	32.39	35.70	35.29	2.47	7.41	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	4.33	4.74	5.88	0.71	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3.29	3.61	0.00	0.35	3.70	0	0	0	0	0	0	0

G1 Execution Services, LLC(ETMM)	3.13	3.46	0.00	0.35	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2.90	0.00	5.88	31.10	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2.44	0.00	0.00	26.50	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	1.73	0.00	0.00	18.73	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.24	1.39	0.00	0.00	0.00	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.59	0.00	5.88	6.01	0.00	0	0	0	0	0	0	0

Material Aspects:

July 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0	5	95	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	41.67	0.00	66.67	40.35	0.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	35.00	0.00	0.00	36.84	0.00	0	0	0	0	0	0	0

Dash Financial Technologies LLC(DASH)	16.67	0.00	0.00	17.54	0.00	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(KEXP)	3.33	0.00	0.00	3.51	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1.67	0.00	0.00	1.75	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1.67	0.00	33.33	0.00	0.00	0	0	0	0	0	0	0

Material Aspects:

August 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	83.91	2.84	12.3	0.95

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	43.77	53.38	61.11	12.71	71.43	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	25.75	31.95	27.78	7.73	14.29	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	9.08	0.00	0.00	37.02	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	7.72	0.00	0.00	31.49	0.00	0	0	0	0	0	0	0

JANE STREET CAPITAL, LLC(JNST)	5.28	6.77	5.56	1.10	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	2.85	3.76	5.56	0.00	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.57	3.57	0.00	0.00	0.00	0	0	0	0	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	1.63	0.00	0.00	6.63	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.81	0.00	0.00	3.31	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.41	0.56	0.00	0.00	0.00	0	0	0	0	0	0	0

Material Aspects:

August 2020

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	92.95	0.9	5.43	0.73

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	46.43	51.82	61.90	6.08	42.31	0	0	0	0	0	0	0

VIRTU Americas LLC(NITE)	32.01	35.63	38.10	6.76	11.54	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	3.93	4.46	0.00	0.68	0.00	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	3.89	4.46	0.00	0.34	0.00	0	0	0	0	0	0
New York Stock Exchange(NYSE)	3.22	0.00	0.00	27.36	0.00	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2.70	0.00	0.00	22.97	0.00	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.62	2.90	0.00	0.34	7.69	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2.14	0.00	0.00	18.24	0.00	0	0	0	0	0	0
NYSE Arca(ARCA)	1.75	0.00	0.00	14.86	0.00	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.75	0.74	0.00	0.68	3.85	0	0	0	0	0	0

Material Aspects:

August 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	15	15	70	0.00

Venues

Citadel Securities LLC(CDRG)	50.42	57.59	61.90	11.85	80.00	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	24.61	27.08	33.33	11.85	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	9.96	0.00	0.00	61.48	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	5.28	6.40	4.76	0.00	0.00	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	4.92	6.10	0.00	0.00	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.04	2.38	0.00	0.74	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	1.92	0.00	0.00	11.85	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.48	0.45	0.00	0.74	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.24	0.00	0.00	1.48	0.00	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	0.12	0.00	0.00	0.00	20.00	0	0	0	0	0	0	0

Material Aspects:

September

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.67	0.52	4.51	0.3

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	49.98	53.50	50.00	7.69	30.00	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	31.31	33.54	28.57	6.15	5.00	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	5.17	5.53	7.14	1.03	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	4.83	5.20	0.00	1.03	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2.75	0.00	7.14	37.44	0.00	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1.90	0.00	0.00	26.15	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.60	1.63	0.00	1.54	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.89	0.00	0.00	12.31	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.60	0.61	0.00	0.00	5.00	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	0.45	0.00	0.00	0.00	60.00	0	0	0	0	0	0	0

Material Aspects:

September

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	14.29	9.52	61.9	14.29

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	42.55	100.00	0.00	43.59	0.00	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	36.17	0.00	100.00	30.77	100.00	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	12.77	0.00	0.00	15.38	0.00	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	4.26	0.00	0.00	5.13	0.00	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	4.26	0.00	0.00	5.13	0.00	0	0	0	0	0	0	0

Material Aspects:

0
0
0

Net Payment Paid/Received for Other Orders(cents per hundred shares)
0
0
0
0

0
0
0
0
0
0

Net Payment Paid/Received for Other Orders(cents per hundred shares)
0
0

0
0
0
0

Net Payment Paid/Received for Other Orders(cents per hundred shares)
0
0
0
0

0
0
0
0
0
0

Net Payment Paid/Received for Other Orders(cents per hundred shares)
0

**Net Payment
Paid/Received
for Other
Orders(cents
per hundred
shares)**

0

0

0

0

0

**Net Payment
Paid/Received
for Other
Orders(cents
per hundred
shares)**

**Net Payment
Paid/Received
for Other
Orders(cents
per hundred
shares)**

0

0

0

0

0

0

0

0

0

0

Net Payment Paid/Received for Other Orders(cents per hundred shares)
0
0
0
0
0