

Equity Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2022

January 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1587	100	1285	80.97	78	5	213	13.42	11	0.69

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	649	34.97	571	44.44	25	30.86	49	10.29	4	28.57	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	425	22.9	349	27.16	15	18.52	54	11.34	7	50	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	326	17.56	264	20.54	18	22.22	44	9.24	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	213	11.48	0	0	11	13.58	202	42.44	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	105	5.66	0	0	9	11.11	96	20.17	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	67	3.61	60	4.67	0	0	7	1.47	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	30	1.62	22	1.71	2	2.47	6	1.26	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	20	1.08	19	1.48	1	1.23	0	0	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	15	0.81	0	0	0	0	15	3.15	0	0	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	3	0.16	0	0	0	0	0	0	3	21.43	0	0	0	0	0	0	0	0

Material Aspects:

January 2022

Non S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
4034	100	3792	94	31	0.77	193	4.78	18	0.45

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Citadel Securities LLC(CDRG)	1707	39.94	1642	43.31	14	38.89	48	11.32	3	13.04	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1089	25.48	1048	27.64	6	16.67	20	4.72	15	65.22	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	843	19.72	816	21.52	12	33.33	15	3.54	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	182	4.26	177	4.67	0	0	5	1.18	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	113	2.64	0	0	1	2.78	112	26.42	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	88	2.06	0	0	3	8.33	85	20.05	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	68	1.59	0	0	0	0	68	16.04	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	66	1.54	64	1.69	0	0	2	0.47	0	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	55	1.29	0	0	0	0	55	12.97	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	44	1.03	44	1.16	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

January 2022

Option Contracts

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	28	100	12	42.86	2	7.14	14	50	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	12	42.86	4	33.33	1	50	7	50	0	0	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	6	21.43	5	41.67	0	0	1	7.14	0	0	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	6	21.43	0	0	0	0	6	42.86	0	0	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	3	10.71	2	16.67	1	50	0	0	0	0	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	3.57	1	8.33	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

February 2022

S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	1705	100	1423	83.46	42	2.46	226	13.26	0.82

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	682	31.16	618	43.43	15	31.91	47	6.67	2	14.29	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	422	19.28	364	25.58	9	19.15	37	5.25	12	85.71	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	407	18.59	0	0	10	21.28	397	56.31	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	348	15.9	307	21.57	6	12.77	35	4.96	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	153	6.99	0	0	4	8.51	149	21.13	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	112	5.12	98	6.89	3	6.38	11	1.56	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	26	1.19	0	0	0	0	26	3.69	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	23	1.05	21	1.48	0	0	2	0.28	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	15	0.69	15	1.05	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	1	0.05	0	0	0	0	1	0.14	0	0	0	0	0	0	0	0	0	0

Material Aspects:

February 2022

Non S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
4087	100	3802	93.03	40	0.98	238	5.82	7	0.17

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	1659	35.13	1592	41.87	19	41.3	48	5.61	0	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1089	23.06	1055	27.75	10	21.74	18	2.11	6	31.58	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	809	17.13	792	20.83	5	10.87	12	1.4	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	286	6.06	280	7.36	1	2.17	4	0.47	1	5.26	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	267	5.65	0	0	2	4.35	265	30.99	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	251	5.32	0	0	4	8.7	247	28.89	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	118	2.5	0	0	5	10.87	113	13.22	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	91	1.93	0	0	0	0	91	10.64	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	57	1.21	54	1.42	0	0	3	0.35	0	0	0	0	0	0	0	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	46	0.97	0	0	0	0	46	5.38	0	0	0	0	0	0	0	0	0	0

Material Aspects:

February 2022

Option Contracts

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	6	100	5	83.33	0	0	1	16.67	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	3	50	3	60	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	33.33	2	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	16.67	0	0	0	0	1	100	0	0	0	0	0	0	0	0	0	0

Material Aspects:

March 2022

S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	1820	100	1585	87.09	52	2.86	178	9.78	5

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	682	30.08	615	38.8	17	32.69	47	7.52	3	60	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	395	17.42	366	23.09	9	17.31	20	3.2	0	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	384	16.94	346	21.83	5	9.62	31	4.96	2	40	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	326	14.38	0	0	8	15.38	318	50.88	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	237	10.45	216	13.63	10	19.23	11	1.76	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	145	6.4	0	0	2	3.85	143	22.88	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	35	1.54	0	0	0	0	35	5.6	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	35	1.54	32	2.02	1	1.92	2	0.32	0	0	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	17	0.75	0	0	0	0	17	2.72	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	11	0.49	10	0.63	0	0	1	0.16	0	0	0	0	0	0	0	0	0	0

Material Aspects:

March 2022

