

EQUITY SERVICES, INC.
One National Life Drive
Montpelier, VT 05604
(800) 344-7437

SEC-Required Report on Routing of Customer Orders
Quarter Ending March 31, 2017

Dear ESI Customer:

This report has been prepared pursuant to a Securities and Exchange Commission rule requiring all broker dealers to make publicly available quarterly reports on their order routing practices. This report provides information on the routing of all “non-directed orders”. Non-directed orders are those orders where ESI has not been instructed by its client to route or place such orders with a particular venue for execution. For these non-directed orders, ESI, through its clearing relationship with National Financial Services, LLC has selected the execution venue.

This report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on The NASDAQ Stock Market, one for securities listed on NYSE MKT or Regional Exchanges, and one for exchange-listed options. For each section covered by this report, the venues most often utilized are identified and statistics with respect to various types of orders routed to particular venues are displayed. Also, discussed and disclosed, are any material relationships that ESI may have with any of these execution venues.

A written copy of this report may be obtained by calling ESI at 1-800-344-7437 or by writing to ESI at One National Life Drive, Montpelier, VT 05604.

Section One: Securities Listed on the New York Stock Exchange

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 77.81% |
| Limit orders as percentage of total non-directed orders: | 5.24% |
| Other orders as percentage of total non-directed orders: | 16.95% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 1. Citadel Securities, LLC | 44.96% |
| 2. KCG Americas, LLC | 41.70% |
| 3. G1 Execution Services, LLC | 3.90% |
| 4. Two Sigma Securities, LLC | 2.85% |
| 5. Instinet, LLC | 1.98% |
| 6. BATS BYX Exchange | 1.34% |
| 7. BATS BZX Exchange | 1.34% |
| 8. UBS Securities, LLC | 0.99% |
| 9. EDGX Exchange, Inc. | 0.58% |
| 10. New York Stock Exchange | 0.29% |

Section One: Securities Listed on the New York Stock Exchange (Continued)

Information Concerning Significant Venues:

| | |
|--|--------|
| 1. Citadel Securities, LLC | |
| Market orders as percentage of total market orders | 37.35% |
| Limit orders as percentage of total limit orders | 34.44% |
| Other orders as percentage of total other orders | 83.16% |
| 2. KCG Americas, LLC | |
| Market orders as percentage of total market orders | 49.10% |
| Limit orders as percentage of total limit orders | 20.00% |
| Other orders as percentage of total other orders | 14.43% |
| 3. G1 Execution Services, LLC | |
| Market orders as percentage of total market orders | 4.87% |
| Limit orders as percentage of total limit orders | 2.22% |
| Other orders as percentage of total other orders | 0.00% |
| 4. Two Sigma Securities, LLC. | |
| Market orders as percentage of total market orders | 3.59% |
| Limit orders as percentage of total limit orders | 1.11% |
| Other orders as percentage of total other orders | 0.00% |
| 5. Instinet, LLC | |
| Market orders as percentage of total market orders | 2.54% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |
| 6. BATS BYX Exchange | |
| Market orders as percentage of total market orders | 1.72% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Section One: Securities Listed on the New York Stock Exchange (Continued)

Information Concerning Significant Venues (Continued):

7. BATS BZX Exchange

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 25.56% |
| Other orders as percentage of total other orders | 0.00% |

8. UBS Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.75% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 2.41% |

9. EDGX Exchange, Inc.

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 11.11% |
| Other orders as percentage of total other orders | 0.00% |

10. New York Stock Exchange

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 5.56% |
| Other orders as percentage of total other orders | 0.00% |

Section Two: Securities Listed on The Nasdaq Stock Market

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 87.81% |
| Limit orders as percentage of total non-directed orders: | 7.21% |
| Other orders as percentage of total non-directed orders: | 4.98% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | | |
|-----|--------------------------------|--------|
| 1. | KCG Americas LLC | 47.76% |
| 2. | Citadel Securities, LLC | 34.45% |
| 3. | BATS BZX Exchange | 3.48% |
| 4. | G1 Execution Services, LLC | 3.23% |
| 5. | Two Sigma Securities, LLC | 2.99% |
| 6. | BATS BYX Exchange | 2.99% |
| 7. | Instinet, LLC | 1.99% |
| 8. | EDGX Exchange, Inc | 1.87% |
| 9. | NASDAQ Execution Services, LLC | 0.62% |
| 10. | UBS Securities, LLC | 0.62% |

Section Two: Securities Listed on The Nasdaq Stock Market (Continued)

Information Concerning Significant Venues:

1. KCG Americas, LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 52.97% |
| Limit orders as percentage of total limit orders | 6.90% |
| Other orders as percentage of total other orders | 15.00% |

2. Citadel Securities LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 33.71% |
| Limit orders as percentage of total limit orders | 10.34% |
| Other orders as percentage of total other orders | 82.50% |

3. BATS BZX Exchange

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 46.55% |
| Other orders as percentage of total other orders | 2.50% |

4. G1 Execution Services, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.54% |
| Limit orders as percentage of total limit orders | 1.72% |
| Other orders as percentage of total other orders | 0.00% |

5. Two Sigma Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.40% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Section Two: Securities Listed on The Nasdaq Stock Market (Continued)

Information Concerning Significant Venues (Continued):

6. BATS BYX Exchange

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.40% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

7. Instinet, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.27% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

8. EDGX Exchange, Inc.

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 25.86% |
| Other orders as percentage of total other orders | 0.00% |

9. NASDAQ Execution Services, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 8.62% |
| Other orders as percentage of total other orders | 0.00% |

10. UBS Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.71% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Section Three: Securities Listed on NYSE or Regional Exchanges

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 96.35% |
| Limit orders as percentage of total non-directed orders: | 3.23% |
| Other orders as percentage of total non-directed orders: | 0.42% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 1. KCG Americas LLC | 41.44% |
| 2. Citadel Securities LLC | 40.74% |
| 3. Two Sigma Securities, LLC | 4.21% |
| 4. G1 Execution Services, LLC | 3.93% |
| 5. Instinet LLC | 3.16% |
| 6. BATS BYX Exchange | 3.16% |
| 7. UBS Securities, LLC | 1.26% |
| 8. EDGX Exchange, Inc. | 0.98% |
| 9. NYSE Arca | 0.56% |
| 10. BATS BZX Exchange | 0.56% |

Section Three: Securities Listed on NYSE or Regional Exchanges (Continued)

Information Concerning Significant Venues:

1. KCG Americas, LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 42.79% |
| Limit orders as percentage of total limit orders | 6.52% |
| Other orders as percentage of total other orders | 0.00% |

2. Citadel Securities LLC

| | |
|---|---------|
| Market orders as percentage of total market orders: | 40.90% |
| Limit orders as percentage of total limit orders: | 28.26% |
| Other orders as percentage of total other orders: | 100.00% |

3. Two Sigma Securities, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 4.37% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

4. G1 Execution Services, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 4.08% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

5. Instinet LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 3.28% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

6. BATS BYX Exchange

| | |
|---|-------|
| Market orders as percentage of total market orders: | 3.28% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

Section Three: Securities Listed on NYSE or Regional Exchanges (Continued)

Information Concerning Significant Venues (Continued):

7. UBS Securities, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 1.31% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

8. EDGX Exchange, Inc.

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 30.43% |
| Other orders as percentage of total other orders: | 0.00% |

9. NYSE Arca

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 17.39% |
| Other orders as percentage of total other orders: | 0.00% |

10. BATS BZX Exchange

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 17.39% |
| Other orders as percentage of total other orders: | 0.00% |

Section Four: Exchange Listed Options

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 50.00% |
| Limit orders as percentage of total non-directed orders: | 50.00% |
| Other orders as percentage of total non-directed orders: | 0.00% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 1. The MIAX Options Exchange | 50.00% |
| 2. BZX Options Exchange, Inc. | 25.00% |
| 3. NASDAQ PHLX Options | 25.00% |

Information Concerning Significant Venues:

1. The MIAX Options Exchange

| | |
|---|---------|
| Market orders as percentage of total market orders: | 100.00% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

2. BZX Options Exchange, Inc.

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 50.00% |
| Other orders as percentage of total other orders: | 0.00% |

3. NASDAQ PHLX Options

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 50.00% |
| Other orders as percentage of total other orders: | 0.00% |

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SEC-Required Report on Routing of Customer Orders
Quarter Ending June 30, 2017

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This report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on The NASDAQ Stock Market, one for securities listed on NYSE MKT or Regional Exchanges, and one for exchange-listed options. For each section covered by this report, the venues most often utilized are identified and statistics with respect to various types of orders routed to particular venues are displayed. Also, discussed and disclosed, are any material relationships that ESI may have with any of these execution venues.

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Section One: Securities Listed on the New York Stock Exchange

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 79.36% |
| Limit orders as percentage of total non-directed orders: | 3.27% |
| Other orders as percentage of total non-directed orders: | 17.34% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 2. Citadel Securities, LLC | 44.94% |
| 2. KCG Americas, LLC | 34.16% |
| 3. Instinet, LLC | 3.56% |
| 4. Two Sigma Securities, LLC | 3.44% |
| 5. G1 Execution Services, LLC | 3.16% |
| 6. BATS BYX Exchange | 2.30% |
| 7. BATS BZX Exchange | 1.03% |
| 8. EDGX Exchange, Inc. | 0.92% |
| 9. UBS Securities, LLC | 0.92% |
| 10. New York Stock Exchange | 0.34% |

Section One: Securities Listed on the New York Stock Exchange (Continued)

Information Concerning Significant Venues:

| | |
|--|--------|
| 1. Citadel Securities, LLC | |
| Market orders as percentage of total market orders | 43.38% |
| Limit orders as percentage of total limit orders | 8.77% |
| Other orders as percentage of total other orders | 87.15% |
| 2. KCG Americas, LLC | |
| Market orders as percentage of total market orders | 40.06% |
| Limit orders as percentage of total limit orders | 21.05% |
| Other orders as percentage of total other orders | 9.60% |
| 3. Instinet, LLC | |
| Market orders as percentage of total market orders | 4.48% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |
| 4. Two Sigma Securities, LLC. | |
| Market orders as percentage of total market orders | 4.34% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |
| 5. G1 Execution Services | |
| Market orders as percentage of total market orders | 3.90% |
| Limit orders as percentage of total limit orders | 1.75% |
| Other orders as percentage of total other orders | 0.00% |
| 9. BATS BYX Exchange | |
| Market orders as percentage of total market orders | 2.89% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Section One: Securities Listed on the New York Stock Exchange (Continued)

Information Concerning Significant Venues (Continued):

| | | |
|-----|--|--------|
| 10. | BATS BZX Exchange | |
| | Market orders as percentage of total market orders | 0.00% |
| | Limit orders as percentage of total limit orders | 28.07% |
| | Other orders as percentage of total other orders | 0.66% |
| 11. | EDGX Exchange, Inc. | |
| | Market orders as percentage of total market orders | 0.00% |
| | Limit orders as percentage of total limit orders | 28.07% |
| | Other orders as percentage of total other orders | 0.00% |
| 9. | UBS Securities, LLC | |
| | Market orders as percentage of total market orders | 0.65% |
| | Limit orders as percentage of total limit orders | 1.75% |
| | Other orders as percentage of total other orders | 1.99% |
| 10. | New York Stock Exchange | |
| | Market orders as percentage of total market orders | 0.00% |
| | Limit orders as percentage of total limit orders | 10.53% |
| | Other orders as percentage of total other orders | 0.00% |

Section Two: Securities Listed on The Nasdaq Stock Market

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 86.87% |
| Limit orders as percentage of total non-directed orders: | 9.93% |
| Other orders as percentage of total non-directed orders: | 3.21% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | | |
|-----|--------------------------------|--------|
| 1. | Citadel Securities, LLC | 40.95% |
| 2. | KCG Americas, LLC | 38.57% |
| 3. | G1 Execution Services | 4.14% |
| 4. | Two Sigma Securities, LLC | 3.52% |
| 5. | BATS BZX Exchange | 3.21% |
| 6. | EDGX Exchange, Inc | 2.90% |
| 7. | Instinet, LLC | 2.48% |
| 8. | BATS BYX Exchange | 1.96% |
| 9. | UBC Securities, LLC | 1.03% |
| 10. | NASDAQ Execution Services, LLC | 0.72% |

Section Two: Securities Listed on The Nasdaq Stock Market (Continued)

Information Concerning Significant Venues:

11. Citadel Securities LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 42.38% |
| Limit orders as percentage of total limit orders | 16.67% |
| Other orders as percentage of total other orders | 77.42% |

12. KCG Americas LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 42.50% |
| Limit orders as percentage of total limit orders | 11.46% |
| Other orders as percentage of total other orders | 16.13% |

13. G1 Execution Services, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 4.29% |
| Limit orders as percentage of total limit orders | 3.13% |
| Other orders as percentage of total other orders | 3.23% |

14. Two Sigma Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 4.05% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

15. BATS BZX Exchange

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 32.29% |
| Other orders as percentage of total other orders | 0.00% |

Section Two: Securities Listed on The Nasdaq Stock Market (Continued)

Information Concerning Significant Venues (Continued):

16. EDGX Exchange, Inc.

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 29.17% |
| Other orders as percentage of total other orders | 0.00% |

17. Instinet, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.86% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

18. BATS BYX Exchange

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.26% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

19. UBS Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.19% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

20. NASDAQ Execution Services, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 7.29% |
| Other orders as percentage of total other orders | 0.00% |

Section Three: Securities Listed on NYSE or Regional Exchanges

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 97.05% |
| Limit orders as percentage of total non-directed orders: | 1.04% |
| Other orders as percentage of total non-directed orders: | 1.91% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|------------------------------------|--------|
| 1. Citadel Securities LLC | 42.84% |
| 2. KCG Americas, LLC | 40.14% |
| 3. G1 Execution Services, LLC | 4.86% |
| 4. Instinet, LLC | 3.38% |
| 5. BATS BYX Exchange | 3.26% |
| 6. Two Sigma Securities, LLC | 3.13% |
| 7. UBS Securities, LLC | 1.23% |
| 8. BNY Mellon Capital Markets, LLC | 0.49% |
| 9. EDGX Exchange, Inc. | 0.25% |
| 10. BATS BZX Exchange | 0.25% |

Section Three: Securities Listed on NYSE or Regional Exchanges (Continued)

Information Concerning Significant Venues:

11. Citadel Securities LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 42.05% |
| Limit orders as percentage of total limit orders | 23.53% |
| Other orders as percentage of total other orders | 93.55% |

12. KCG Americas, LLC

| | |
|---|--------|
| Market orders as percentage of total market orders: | 41.17% |
| Limit orders as percentage of total limit orders: | 17.65% |
| Other orders as percentage of total other orders: | 0.00% |

13. G1 Execution Services, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 4.94% |
| Limit orders as percentage of total limit orders: | 5.88% |
| Other orders as percentage of total other orders: | 0.00% |

14. Instinet, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 3.48% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

15. BATS BYX Exchange

| | |
|---|-------|
| Market orders as percentage of total market orders: | 3.36% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

16. Two Sigma Securities

| | |
|---|-------|
| Market orders as percentage of total market orders: | 3.23% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

Section Three: Securities Listed on NYSE or Regional Exchanges (Continued)

Information Concerning Significant Venues (Continued):

17. UBS Securities, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 1.14% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 6.45% |

18. BNY Mellon Capital Markets, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 0.51% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

19. EDGX Exchange, Inc.

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 23.53% |
| Other orders as percentage of total other orders: | 0.00% |

20. BATS BZX Exchange

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 23.53% |
| Other orders as percentage of total other orders: | 0.00% |

Section Four: Exchange Listed Options

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 33.33% |
| Limit orders as percentage of total non-directed orders: | 66.67% |
| Other orders as percentage of total non-directed orders: | 0.00% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 1. The MIAX Options Exchange | 33.33% |
| 2. BZX Options Exchange, Inc. | 33.33% |
| 3. NASDAQ PHLX Options | 33.33% |

Information Concerning Significant Venues:

4. NYSE Arca Options

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 50.00% |
| Other orders as percentage of total other orders: | 0.00% |

5. The MIAX Options Exchange

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 50.00% |
| Other orders as percentage of total other orders: | 0.00% |

6. NASDAQ PHLX Options

| | |
|---|---------|
| Market orders as percentage of total market orders: | 100.00% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

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Quarter Ending September 30, 2017**

Dear ESI Customer:

This report has been prepared pursuant to a Securities and Exchange Commission rule requiring all broker dealers to make publicly available quarterly reports on their order routing practices. This report provides information on the routing of all “non-directed orders”. Non-directed orders are those orders where ESI has not been instructed by its client to route or place such orders with a particular venue for execution. For these non-directed orders, ESI, through its clearing relationship with National Financial Services, LLC has selected the execution venue.

This report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on The NASDAQ Stock Market, one for securities listed on NYSE MKT or Regional Exchanges, and one for exchange-listed options. For each section covered by this report, the venues most often utilized are identified and statistics with respect to various types of orders routed to particular venues are displayed. Also, discussed and disclosed, are any material relationships that ESI may have with any of these execution venues.

A written copy of this report may be obtained by calling ESI at 1-800-344-7437 or by writing to ESI at One National Life Drive, Montpelier, VT 05604.

Section One: Securities Listed on the New York Stock Exchange

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 71.98% |
| Limit orders as percentage of total non-directed orders: | 5.64% |
| Other orders as percentage of total non-directed orders: | 22.37% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------|--------|
| 3. Citadel Securities, LLC | 51.10% |
| 2. VIRTU Americas, LLC | 29.51% |
| 3. Two Sigma Securities, LLC | 5.84% |
| 4. G1 Execution Services, LLC | 4.22% |
| 5. EDGX Exchange, Inc. | 2.59% |
| 6. Instinet, LLC | 2.59% |
| 7. BATS BYX Exchange | 2.33% |
| 8. UBS Securities, LLC | 0.65% |
| 9. WOLVERINE SECURITIES, LLC | 0.39% |
| 10. New York Stock Exchange | 0.26% |

**Section One: Securities Listed on the New York Stock Exchange
(Continued)**

Information Concerning Significant Venues:

| | | |
|--|---------------|--|
| 1. Citadel Securities, LLC | | |
| Market orders as percentage of total market orders | 40.72% | |
| Limit orders as percentage of total limit orders | 17.24% | |
| Other orders as percentage of total other orders | 93.04% | |
| | | |
| 2. VIRTU Americas, LLC | | |
| Market orders as percentage of total market orders | 37.39% | |
| Limit orders as percentage of total limit orders | 19.54% | |
| Other orders as percentage of total other orders | 6.67% | |
| | | |
| 3. Two Sigma Securities, LLC | | |
| Market orders as percentage of total market orders | 8.02% | |
| Limit orders as percentage of total limit orders | 1.15% | |
| Other orders as percentage of total other orders | 0.00% | |
| | | |
| 4. G1 Execution Services. LLC | | |
| Market orders as percentage of total market orders | 5.86% | |
| Limit orders as percentage of total limit orders | 0.00% | |
| Other orders as percentage of total other orders | 0.00% | |
| | | |
| 5. EDGX Exchange, Inc. | | |
| Market orders as percentage of total market orders | 0.00% | |
| Limit orders as percentage of total limit orders | 45.98% | |
| Other orders as percentage of total other orders | 0.00% | |
| | | |
| 12. | Instinet, LLC | |
| Market orders as percentage of total market orders | 3.51% | |
| Limit orders as percentage of total limit orders | 1.15% | |
| Other orders as percentage of total other orders | 0.00% | |

**Section One: Securities Listed on the New York Stock Exchange
(Continued)**

Information Concerning Significant Venues (Continued):

| | | |
|-----|--|-------|
| 13. | BATS BYX Exchange | |
| | Market orders as percentage of total market orders | 3.15% |
| | Limit orders as percentage of total limit orders | 1.15% |
| | Other orders as percentage of total other orders | 0.00% |
| 14. | UBS Securities, LLC | |
| | Market orders as percentage of total market orders | 0.63% |
| | Limit orders as percentage of total limit orders | 2.30% |
| | Other orders as percentage of total other orders | 0.29% |
| 9. | WOLVERINE SECURITIES, LLC | |
| | Market orders as percentage of total market orders | 0.54% |
| | Limit orders as percentage of total limit orders | 0.00% |
| | Other orders as percentage of total other orders | 0.00% |
| 10. | New York Stock Exchange | |
| | Market orders as percentage of total market orders | 0.00% |
| | Limit orders as percentage of total limit orders | 4.60% |
| | Other orders as percentage of total other orders | 0.00% |

Section Two: Securities Listed on The Nasdaq Stock Market

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 88.68% |
| Limit orders as percentage of total non-directed orders: | 8.37% |
| Other orders as percentage of total non-directed orders: | 2.95% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | | |
|-----|--------------------------------|--------|
| 1. | VIRTU Americas, LLC | 38.75% |
| 2. | Citadel Securities, LLC | 37.55% |
| 3. | Two Sigma Securities, LLC | 7.95% |
| 4. | G1 Execution Services, LLC | 4.22% |
| 5. | BATS BYX Exchange | 2.95% |
| 6. | EDGX Exchange, Inc. | 2.88% |
| 7. | Instinet, LLC | 2.39% |
| 8. | BATS BZX Exchange | 1.48% |
| 9. | NASDAQ Execution Services, LLC | 0.56% |
| 10. | UBS Securities, LLC | 0.49% |

Section Two: Securities Listed on The Nasdaq Stock Market (Continued)

Information Concerning Significant Venues:

21. VIRTU Americas, LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 42.03% |
| Limit orders as percentage of total limit orders | 16.81% |
| Other orders as percentage of total other orders | 2.38% |

22. Citadel Securities, LLC

| | |
|--|--------|
| Market orders as percentage of total market orders | 37.51% |
| Limit orders as percentage of total limit orders | 16.81% |
| Other orders as percentage of total other orders | 97.62% |

23. Two Sigma Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 8.72% |
| Limit orders as percentage of total limit orders | 2.52% |
| Other orders as percentage of total other orders | 0.00% |

24. G1 Execution Services, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 4.60% |
| Limit orders as percentage of total limit orders | 1.68% |
| Other orders as percentage of total other orders | 0.00% |

25. BATS BYX Exchange

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.25% |
| Limit orders as percentage of total limit orders | 0.84% |
| Other orders as percentage of total other orders | 0.00% |

Section Two: Securities Listed on The Nasdaq Stock Market (Continued)

Information Concerning Significant Venues (Continued):

26. EDGX Exchange, Inc.

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 34.45% |
| Other orders as percentage of total other orders | 0.00% |

27. Instinet, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.70% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

28. BATS BZX Exchange

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 17.65% |
| Other orders as percentage of total other orders | 0.00% |

29. NASDAQ Execution Services, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 6.72% |
| Other orders as percentage of total other orders | 0.00% |

30. UBS Securities, LLC

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.48% |
| Limit orders as percentage of total limit orders | 0.84% |
| Other orders as percentage of total other orders | 0.00% |

Section Three: Securities Listed on NYSE or Regional Exchanges

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 99.23% |
| Limit orders as percentage of total non-directed orders: | 0.43% |
| Other orders as percentage of total non-directed orders: | 0.33% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|------------------------------------|--------|
| 1. Citadel Securities LLC | 47.40% |
| 2. VIRTU Americas, LLC | 34.54% |
| 3. Two Sigma Securities, LLC | 6.36% |
| 4. BATS BYX Exchange | 4.06% |
| 5. G1 Execution Services, LLC | 3.96% |
| 6. Instinet, LLC | 1.90% |
| 7. UBS Securities, LLC | 0.80% |
| 8. BNY Mellon Capital Markets, LLC | 0.40% |
| 9. WOLVERINE SECURITIES, LLC | 0.37% |
| 10. BATS BZX Exchange | 0.10% |

Section Three: Securities Listed on NYSE or Regional Exchanges (Continued)

Information Concerning Significant Venues:

21. Citadel Securities LLC

| | |
|--|---------|
| Market orders as percentage of total market orders | 47.30% |
| Limit orders as percentage of total limit orders | 30.77% |
| Other orders as percentage of total other orders | 100.00% |

22. VIRTU Americas, LLC

| | |
|---|--------|
| Market orders as percentage of total market orders: | 34.68% |
| Limit orders as percentage of total limit orders: | 30.77% |
| Other orders as percentage of total other orders: | 0.00% |

23. Two Sigma Securities, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 6.41% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

24. BATS BYX Exchange

| | |
|---|-------|
| Market orders as percentage of total market orders: | 4.10% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

25. G1 Execution Services, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 3.99% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

Section Three: Securities Listed on NYSE or Regional Exchanges (Continued)

Information Concerning Significant Venues (Continued):

26. Instinet, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 1.91% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

27. UBS Securities, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 0.81% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

28. BNY Mellon Capital Markets, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 0.40% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

29. WOLVERINE SECURITIES, LLC

| | |
|---|-------|
| Market orders as percentage of total market orders: | 0.37% |
| Limit orders as percentage of total limit orders: | 0.00% |
| Other orders as percentage of total other orders: | 0.00% |

30. BATS BZX Exchange

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 23.08% |
| Other orders as percentage of total other orders: | 0.00% |

Section Four: Exchange Listed Options

Summary Statistics:

| | |
|---|---------|
| Non-directed orders as percentage of total customer orders: | 100.00% |
| Market orders as percentage of total non-directed orders: | 0.00% |
| Limit orders as percentage of total non-directed orders: | 100.00% |
| Other orders as percentage of total non-directed orders: | 0.00% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|---------------------------------------|--------|
| 1. NYSE Amex Options | 50.00% |
| 2. The Chicago Board Options Exchange | 50.00% |

Information Concerning Significant Venues:

7. NYSE Amex Options

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 50.00% |
| Other orders as percentage of total other orders: | 0.00% |

8. The MIAX Options Exchange

| | |
|---|--------|
| Market orders as percentage of total market orders: | 0.00% |
| Limit orders as percentage of total limit orders: | 50.00% |
| Other orders as percentage of total other orders: | 0.00% |

OSK
EQUITY SERVICES INC.
ONE NATIONAL LIFE DR
MONTPELIER, VT 05604

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2017

Securities Listed on New York Stock Exchange

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders | 83.81% |
| Limit orders as percentage of total limit orders | 2.78% |
| Other orders as percentage of total other orders | 13.41% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------------|--------|
| 1. Citadel Securities LLC | 46.35% |
| 2. VIRTU Americas LLC | 34.31% |
| 3. Two Sigma Securities, LLC | 6.73% |
| 4. G1 Execution Services, LLC | 3.34% |
| 5. Instinet LLC | 2.92% |
| 6. BATS BYX Exchange | 2.82% |
| 7. BNY Mellon Capital Markets LLC | 1.51% |
| 8. Cboe EDGX U.S. Equities Exchange | 0.71% |
| 9. New York Stock Exchange | 0.42% |
| 10. UBS Securities, LLC | 0.42% |

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 39.02% |
| Limit orders as percentage of total limit orders | 27.12% |
| Other orders as percentage of total other orders | 96.14% |

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 39.92% |
| Limit orders as percentage of total limit orders | 11.86% |
| Other orders as percentage of total other orders | 3.86% |

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 7.92% |
| Limit orders as percentage of total limit orders | 3.39% |
| Other orders as percentage of total other orders | 0.00% |

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.99% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

5. Instinet LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.48% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

6. BATS BYX Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.37% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

7. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.74% |
| Limit orders as percentage of total limit orders | 1.69% |
| Other orders as percentage of total other orders | 0.00% |

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 25.42% |
| Other orders as percentage of total other orders | 0.00% |

9. New York Stock Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 15.25% |
| Other orders as percentage of total other orders | 0.00% |

10. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.51% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders | 92.53% |
| Limit orders as percentage of total limit orders | 5.62% |
| Other orders as percentage of total other orders | 1.85% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-------------------------------------|--------|
| 1. Citadel Securities LLC | 41.80% |
| 2. VIRTU Americas LLC | 37.02% |
| 3. Two Sigma Securities, LLC | 6.97% |
| 4. G1 Execution Services, LLC | 3.43% |
| 5. Cboe EDGX U.S. Equities Exchange | 2.81% |
| 6. BATS BYX Exchange | 2.36% |
| 7. Instinet LLC | 2.25% |
| 8. BNY Mellon Capital Markets LLC | 1.80% |
| 9. NASDAQ Execution Services, LLC | 1.01% |
| 10. UBS Securities, LLC | 0.51% |

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|---------|
| Market orders as percentage of total market orders | 42.32% |
| Limit orders as percentage of total limit orders | 14.00% |
| Other orders as percentage of total other orders | 100.00% |

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 39.16% |
| Limit orders as percentage of total limit orders | 14.00% |
| Other orders as percentage of total other orders | 0.00% |

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 7.35% |
| Limit orders as percentage of total limit orders | 3.00% |
| Other orders as percentage of total other orders | 0.00% |

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.70% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 50.00% |
| Other orders as percentage of total other orders | 0.00% |

6. BATS BYX Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.49% |
| Limit orders as percentage of total limit orders | 1.00% |
| Other orders as percentage of total other orders | 0.00% |

7. Instinet LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.43% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

8. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.94% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 18.00% |
| Other orders as percentage of total other orders | 0.00% |

10. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.55% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders | 99.27% |
| Limit orders as percentage of total limit orders | 0.58% |
| Other orders as percentage of total other orders | 0.15% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|-----------------------------------|--------|
| 1. Citadel Securities LLC | 42.49% |
| 2. VIRTU Americas LLC | 37.35% |
| 3. Two Sigma Securities, LLC | 7.51% |
| 4. BATS BYX Exchange | 4.78% |
| 5. G1 Execution Services, LLC | 3.66% |
| 6. Instinet LLC | 2.39% |
| 7. BNY Mellon Capital Markets LLC | 1.12% |
| 8. UBS Securities, LLC | 0.61% |
| 9. NASDAQ Execution Services, LLC | 0.09% |

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

| | |
|--|---------|
| Market orders as percentage of total market orders | 42.35% |
| Limit orders as percentage of total limit orders | 52.63% |
| Other orders as percentage of total other orders | 100.00% |

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 37.56% |
| Limit orders as percentage of total limit orders | 10.53% |
| Other orders as percentage of total other orders | 0.00% |

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 7.53% |
| Limit orders as percentage of total limit orders | 5.26% |
| Other orders as percentage of total other orders | 0.00% |

4. BATS BYX Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 4.82% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

5. G1 Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.66% |
| Limit orders as percentage of total limit orders | 5.26% |
| Other orders as percentage of total other orders | 0.00% |

6. Instinet LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 2.41% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

7. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.13% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

8. UBS Securities, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.55% |
| Limit orders as percentage of total limit orders | 10.53% |
| Other orders as percentage of total other orders | 0.00% |

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 15.79% |
| Other orders as percentage of total other orders | 0.00% |

Exchange - Listed Options

Summary Statistics:

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders | 57.14% |
| Limit orders as percentage of total limit orders | 42.86% |
| Other orders as percentage of total other orders | 0.00% |

Venues Receiving Significant Percentage of Total Non-Directed Orders:

| | |
|--------------------------------|--------|
| 1. NASDAQ PHLX Options | 57.14% |
| 2. NYSE Arca Options | 14.29% |
| 3. ISE Gemini Options Exchange | 14.29% |
| 4. NASDAQ Options Market | 14.29% |

Information Concerning Significant Venues :

1. NASDAQ PHLX Options

Types of Orders Routed to Venue :

| | |
|--|---------|
| Market orders as percentage of total market orders | 100.00% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

2. NYSE Arca Options

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 33.33% |
| Other orders as percentage of total other orders | 0.00% |

3. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 33.33% |
| Other orders as percentage of total other orders | 0.00% |

4. NASDAQ Options Market

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders | 33.33% |
| Other orders as percentage of total other orders | 0.00% |